ろけらう Attorney's Docket No.: 06275-283US1 / Z70673



DENOTHE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Derek Shaw et al.

Art Unit: 3763

Serial No.: 09/936,859

Examiner: Kevin C. Sirmons

Filed

: November 15, 2001

Title : AUTOMA

: AUTOMATICALLY OPERABLE SAFETY SHIELD SYSTEM FOR SYRINGES

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being filed after the filing of an RCE but before the receipt of a first Office Action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Date: 3/9/9

Sean P. Daley Reg. No. 40,978

Respectfully submitted,

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 06275-283US1

Application No. 09/936,859

Information Disclosure Statement by Applicant (Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant Derek Shaw et al. Filing Date Group Art Unit November 15, 2001 3763

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SIPE	AB	RE36,885	09/26/2000	Blecher et al.	604	198	
8	AC	RE37,439	11/06/2001	Firth	604	110	
1 6 2005 µ	AD	RE37,252	07/03/2001	Hollister	206	364	
	AE	2002-0161337	10/31/2002	Shaw	604	181	
PADEMARK	AF	2,876,770	03/10/1959	White	128	215	
	AG	2,925,083	02/16/1960	Craig	128	218	
	AH	3,323,523	06/06/1967	Scislowicz et al.	128	214.4	
	AI	3,463,152	08/26/1969	Sorenson	128	214.4	
	AJ	3,536,073	10/27/1970	Farb	128	214.4	
	AK	3,574,306	04/13/1971	Alden	128	214.4	
	AL	3,610,240	10/05/1971	Harautuneian	128	214.4	
	AM	3,658,061	04/25/1972	Hall	128	214.4	
	AN	3,884,230	05/20/1975	Wulff	128	221	
	AO	3,904,033	09/09/1975	Наетт	206	349	
	AP	4,048,997	09/20/1977	Raghavachari et al.	12/	215	
	AQ	4,062,353	12/13/1977	Foster et al.	128	1.1	
	AR	4,170,993	10/16/1979	Alvarez	128	214	
	AS	4,365,626	12/28/1982	House	128	218	
	AT	4,573,976	03/04/1986	Sampson et al.	604	198	
	AU	4,655,751	04/07/1987	Harbaugh	604	198	
	AV	4,664,259	05/12/1987	Landis	206	365	
	AW	4,666,435	05/19/1987	Braginetz	604	198	
	AX	4,681,567	07/21/1987	Masters et al.	604	198	
	AY	4,693,257	09/15/1987	Markham	128	752	
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	BA	4,702,738	10/27/1987	Spencer	604	198	

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OIP	BC	4,743,233	05/10/1988	Schneider	604	192	
4	BD	4,758,231	07/19/1988	Haber et al.	604	198	
R 1 6 2005	BE	4,772,272	09/20/1988	McFarland	604	198	
2005	BF	4,816,024	03/28/1989	Sitar	604	192	
CEMARK CE.	BG	4,826,490	05/02/1989	Byrne et al.	604	198	
in the second	вн	4,846,796	07/11/1989	Carrell et al.	604	110	
	BI	4,846,805	07/11/1989	Sitar	604	165	
	BJ	4,863,435	09/05/1989	Sturman et al.	604	198	
	BK	4,867,746	09/19/1989	Dufresne	604	192	
	BL	4,874,384	10/17/1989	Nunez	604	198	
	BM	4,892,523	01/09/1990	Haber et al.	604	198	
	BN	4,897,083	01/30/1990	Martell	604	192	
	во	4,923,445	05/08/1990	Ryan	604	198	
	BP	4,923,447	05/08/1990	Morgan	604	198	
	BQ	4,927,018	05/22/1990	Yang et al.	604	198	
	BR	4,927,416	05/22/1990	Tomkiel	604	198	
	BS	4,931,048	06/05/1990	Lopez	604	110	
	ВТ	4,969,877	11/13/1990	Kornberg	604	195	
	BU	4,998,924	03/12/1991	Ranford	604	198	
	BV	5,000,744	03/19/1991	Hoffman et al.	604	232	·
	BW	5,002,537	03/26/1991	Hoffman et al.	604	232	
	BX	5,011,479	04/30/1991	Le et al.	604	198	
	BY	5,013,301	05/07/1991	Marotta, Jr. et al.	604	197	
	BZ	5,045,066	09/03/1991	Scheuble et al.	604	198	
	CA	5,059,185	10/22/1991	Ryan	604	198	
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	CC	5,067,945	11/26/1991	Ryan et al.	604	198	
OIPE	CD	5,084,030	01/28/1992	Byrne et al.	604	198	
6	CE	5,098,382	03/24/1992	Haber et al.	604	110	
IR 1 6 2005	CF	5,098,403	03/24/1992	Sampson	604	198	
: 4	CG	5,127,910	07/07/1992	Talonn	604	198	
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	CI	5,141,500	08/25/1992	Hake	604	198	
	CJ	5,147,326	09/15/1992	Talonn	604	198	
	CK	5,152,751	10/06/1992	Kozlowski	604	192	
	CL	5,154,698	10/13/1992	Compagnucci et al.	604	110	
	CM	5,176,656	01/05/1993	Bayless	604	198	
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	co	5,197,953	03/30/1993	Colonna	604	110	
	CP	5,201,720	04/13/1993	Borgia et al.	604	198	
	CQ	5,207,646	05/04/1993	Brunel	604	110	
	CR	5,215,535	06/01/1993	Gettig et al.	604	198	
.,=	CS	5,217,437	06/08/1993	Talonn et al.	604	198	
	СТ	5,242,416	09/07/1993	Hutson	604	192	
	CU	5,259,841	11/09/1993	Hohendorf et al.	604	110	
	CV	5,269,766	12/14/1993	Haber et al.	604	198	
	CW	5,279,579	01/18/1994	D'Amico	604	192	- 10211241
	CX	5,300,039	04/05/1994	Poulsen	604	198	
	CY	5,304,149	04/19/1994	Morigi	604	192	
	CZ	5,306,258	04/26/1994	de la Fuente	604	198	
	DA	5,336,185	08/09/1994	Lynch et al.	604	110	
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Application No. 09/936,859

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OIPE	DE	5,385,557	01/31/1995	Thompson	604	198	
30	DF	5,403,288	04/04/1995	Stanners	604	232	
1 1 6 2005 S	DG	5,417,660	05/23/1995	Martin	604	110	
<i>Ş</i>	DH	5,433,712	07/18/1995	Stiles et al.	604	197	
DEMARK CE	DI	5,437,647	08/01/1995	Firth et al.	604	110	
	DJ	5,445,620	08/29/1995	Haber et al.	604	232	
	DK	5,492,536	02/20/1996	Mascia	604	197	
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	DU	5,569,211	10/29/1996	Lekhgolts et al.	604	195	
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	DZ	5,658,254	08/19/1997	Reichenback	604	192	
	EA	5,681,291	10/28/1997	Galli	604	192	
	EB	5,735,827	04/07/1998	Adwers et al.	604	263	
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IPE	EF	5,891,092	04/06/1999	Castellano	604	110	
50	EG	5,891,105	04/06/1999	Mahurkar	604	195	
1 6 2005	EH	5,893,845	04/13/1999	Newby et al.	604	198	
· Ž	EI	5,938,641	08/17/1999	Villanueva	604	195	
DEMARY	EJ	5,938,644	08/17/1999	Kirk	604	263	
	EK	5,964,739	10/12/1999	Champ	604	263	
	EL	5,984,899	11/16/1999	D'Alessio et al.	604	198	
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	EO	6,017,329	01/25/2000	Hake	604	198	
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	EQ	6,074,370	06/13/2000	Pressly Sr. et al.	604	195	
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	EW	6,102,893	08/15/2000	Aneas	604	110	
	EX	6,102,894	08/15/2000	Dysarz	604	110	
	EY	6,106,500	08/22/2000	Mahurkar	604	195	
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	FB	6,123,688	09/26/2000	Botich et al.	604	220	
	FC	6,126,637	10/03/2000	Kriesel	604	132	
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Information Disclosure Statement by Applicant

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Applicant

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November 15, 2001

Group Art Unit 3763

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(S)	FH	6,162,197	12/19/2000	Mohammad	604	195	
1 6 2005 H	FI	6,171,283	01/09/2001	Perez et al.	604	192	
2	FJ	6,179,812	01/30/2001	Botich et al.	604	110	
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	FP	6,254,575	07/03/2001	Thorne, Jr. et al.	604	198	
	FQ	6,258,068	07/10/2001	Kirchhofer et al.	604	208	
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Initial	ID	Number	Date	Patent Office	Class	class	Yes	No	
	GA	DE0 813 433	08 JUL 1949	GERMANY			Abstract		
	GB	DE0 924 734	01 MAY 1963	GERMANY			In English		
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Substitute Form PTO-1449 (Modified)	·		Application No. 09/936,859
	closure Statement pplicant	Applicant Derek Shaw et al.	
(Use several s (37 CFR §1.98(b))	heets if necessary)	Filing Date November 15, 2001	Group Art Unit 3763

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5	IPE	GE	EP0 307 367	15 MAR 1989	EUROPE			In English			
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Examiner Initial	Desig. ID	Document					
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